Inorganic Chemistry

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¹ Computationally Guided Investigation of the Optical Spectra of Pure ${}_{2}\beta$ -UO₃

- 3 Tyler L. Spano,* Ashley E. Shields, Brianna S. Barth, Jeremiah D. Gruidl, Jennifer L. Niedziela,
- ⁴ Roger J. Kapsimalis, and Andrew Miskowiec



Cite This: https://dx.doi.org/10.1021/acs.inorgchem.0c01279



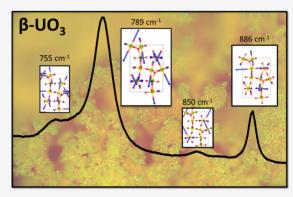
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5 **ABSTRACT:** Single-phase β -UO $_3$ is synthesized by flash heating 6 UO $_2$ (NO $_3$)·6H $_2$ O in air to 450 °C and annealing for 60 h under the 7 same conditions. For the first time, we report the Raman spectra of pure β -8 UO $_3$. To facilitate the assignment of Raman and infrared vibrational modes, 9 we use density functional theory with density functional perturbation 10 theory. By employing a novel analysis scheme that includes the mode 11 frequencies as well as a quantitative analysis of the mode eigenvectors, we 12 assign the observed spectral features to individual chemical modes. In 13 particular, the density functional theory optimized structure, observed 14 Raman spectrum, and eigenvector analysis suggest the presence of four 15 crystallographically distinct uranyl ions, one more than has previously been 16 suggested.



1. INTRODUCTION

1.1. Importance of the UO₃ Polymorphs. Uranium 18 trioxide (UO₃) polymorphs have been the subject of numerous 19 experimental and computational investigations because of their 20 importance as intermediate materials in the nuclear fuel 21 cycle. 1-4 Hydrolysis and calcination products of polymorphs 22 within the UO₃ phase space were extensively explored in the 23 mid-20th century. 5-7 Recently, the UO₃ system has garnered 24 significant attention in the nuclear forensics community. 25 Advances in Raman spectroscopy, including the development 26 of hand-held, rapidly deployable systems as a means to 27 determine major and impurity constituents in a sample, as well 28 as the provenance of uranium ores, ore concentrates, and their 29 derivatives have necessitated a renewed interest in the rapid 30 identification of nuclear materials. 2,8-12 Raman spectra for 31 other UO_3 polymorphs—including α , γ , and an amorphous 32 phase—have been obtained, yet difficulties associated with the 33 synthesis of pure β -UO₃ in recent years have precluded 34 collection of vibrational spectroscopic data without contribu-35 tions from other UO₃ phases, thus emphasizing the necessity of 36 identifying this polymorph in a mixture.¹³

The present work has three goals: (1) to provide a facile and 38 reliable method for obtaining pure β -UO₃ subsequent to a 39 review of known synthetic routes, (2) to report the Raman 40 spectra for β -UO₃, which has not been presented in pure form, 41 and (3) to reevaluate the complex structure of β -UO₃ using 42 experimental and computational methods and to relate the 43 density functional theory (DFT) optimized structure to 44 vibrational spectroscopic observables.

1.2. Reported Synthetic Routes. A variety of starting 45 materials have been reported as precursors of β -UO₃. Hoekstra 46 and Siegel⁵ described a synthetic pathway involving the 47 calcination of U₃O₈ in 40 atm of O₂ in the range of 500-48 550 °C or ignition of ammonium uranate or diuranate (ADU, 49 \sim (NH₄)₂U₂O₇) in air to 500 °C, a method also reported by 50 other researchers. 14,15 Wheeler et al. produced β -UO₃ as a 51 product of rapidly heating (~35 °C/min) amorphous UO₃ 52 hydrated in dilute NH₄OH. Syntheses by Sato et al. 53 described a mixture of β -UO₃ and U₃O₈ obtained by heating 54 of (NH₄)₂UO₄·H₂O.¹⁷ Using ammonium uranyl nitrate as a 55 precursor, Kim et al. ¹⁸ produced β -UO₃ in both air and N₂ by 56 heating the starting material to 490 °C. Recently, Sweet et al. 57 established a new synthetic pathway for β -UO₃ via calcination 58 of ammonium uranyl carbonate ((NH₄)₄UO₂(CO₃)₃), which 59 results in mixtures of amorphous and β -UO₃ or α - and β -UO₃ 60 as a function of calcination temperature.²

To determine a reliable synthetic route for β -UO₃, the 62 recent method of Sweet et al. was attempted as reported and 63 with modifications to annealing conditions but, as those 64 authors noted, the obtained product was a mixture of α - and β - 65 UO₃ (Figure S1 in the Supporting Information).² ADU was 66 also considered as a starting material on the basis of reports 67

Received: April 29, 2020



68 from Debets, ¹⁹ Hoekstra and Siegel, ⁵ Wheeler et al., ¹⁶ and Sato 69 et al., ¹⁷ who also investigated the thermal decomposition of 70 UO₃ and ADU. Given the range of reported compositions for 71 ADU in the literature and mixed-phase or low-quality reaction 72 products obtained from this precursor material, ^{17,19,20} ADU 73 was also deemed unsuitable for reliable syntheses.

Cornman²¹ produced β -UO₃ by rapid calcination of uranyl 75 nitrate hexahydrate (UNH, UO₂(NO₃)₂·6H₂O) in air at 500 76 °C. A method similar to what Cornman used was recorded by 77 Debets, ¹⁹ who investigated UNH as a precursor in addition to 78 the ADU method described above. Debets found that the 79 UNH starting material resulted in higher quality crystals ¹⁸ and 80 was the first to provide crystal structure information for β -UO₃. 81 Earlier works by Sheft et al. ⁷ and Katz and Gruen²² did not 82 provide structural information but described syntheses and 83 reaction products similar to those discussed by Cornman and 84 Debets. The successful synthesis of pure β -UO₃ from UNH in 85 these early literature reports ^{19,21} and the commercial 86 availability of this reagent indicated that UNH could be a 87 promising starting material for the production of β -UO₃.

2. MATERIALS AND METHODS

88 *Caution!* Isotopically depleted uranium (²³⁸U) was used in this 89 synthesis following appropriate techniques and controls for handling 90 radioactive materials.

2.1. Synthesis. Initially, UNH was heated to 500 °C at a rate of 92 ~35 °C/min followed by 72 h of annealing. 16,19 Powder X-ray 93 diffraction (PXRD) and Raman spectroscopic data collected during 94 annealing were used to monitor the reaction progress. Although this 95 method produced an X-ray amorphous material, we observed some 96 changes in the Raman spectra toward the end of the experiment 97 (Figure S2 in the Supporting Information). We hypothesized that the 98 maximum heating rate achievable during in situ experiments was 99 insufficient to obtain β-UO₃ and modified this synthesis as discussed 100 below.

Crystalline β -UO₃ was ultimately prepared by rapid calcination of 102 UNH in air at 450 °C following the methods of Cornman²¹ and 103 Debets. To promote homogeneous particle size distribution and 104 even heating of the UNH precursor, 233 mg of UO₂(NO₃)·6H₂O 105 (Spectrum chemical, ACS grade) was ground in an agate mortar and 106 pestle to break down the large crystals. UNH was placed in a 107 porcelain crucible and then transferred in air to a Thermolyne muffle 108 furnace preheated to 450 °C. The UNH was calcined at this 109 temperature for 6 days, after which the furnace was brought to room 110 temperature over 24 h. The crucible was removed from the oven, 111 revealing a bright orange powder that was consistent with the 112 reported appearance of β -UO₃. This method was repeated with a 113 smaller (~25 mg) sample to ensure reproducibility, resulting in an 114 identical product on the basis of PXRD.

2.2. Powder X-ray Diffraction. β -UO $_3$ was prepared for PXRD product by grinding an approximately 50 mg subsample of the product described in section 2.1 in a mortar and pestle. The fine powder was loaded onto a zero-background Si substrate for PXRD analysis. Data were collected with a Proto AXRD benchtop powder diffractometer in Bragg—Brentano configuration with a Cu K α (λ = 1.5406 Å) X-ray source and Dectris Mythen 1K 1-D detector. Incident and diffracted beam Soller slits and a 0.2 mm divergence slit were employed to reduce axial divergence. Data were collected in the range of 10–75° reduce axial divergence. Data were collected in the range of 10–75° a zero alignment and analysis of instrumental broadening was conducted using LaB $_6$ standard reference material.

2.3. Scanning Electron Microscopy–Energy Dispersive X-128 ray Spectroscopy. Scanning electron microscopy—energy dispersive 129 X-ray spectroscopy (SEM-EDS) analysis was conducted on several 130 micrograms of β -UO $_3$ powder affixed to carbon tabs mounted on 131 SEM stubs. Elemental analysis was conducted via EDS coupled to a 132 Zeiss MERLIN field emission scanning electron microscope. An acceleration voltage of 10 kV and current of 1.1 nA was employed for 133 EDS measurements at an 8–8.5 mm working distance. The sample 134 morphology was examined using secondary and backscatter electron 135 imaging with in-lens composite images.

2.4. Infrared Spectroscopy. Infrared spectra were collected 137 using a ThermoFisher Scientific Nicolet iS5 attenuated total 138 reflectance–Fourier transform infrared spectrometer (ATR-FTIR). 139 Microgram subsamples of the powder were transferred to the 140 diamond lens and were pressed using the ATR tip. Data were 141 collected in the range of 650-1500 cm⁻¹. Background spectra, 142 collected in air before the measurement of β -UO₃, were applied for 143 baseline subtractions.

2.5. Raman Spectroscopy. A Renishaw inVia micro-Raman 145 spectrometer was used to collect Raman data for the sample prepared 146 as described in section 2.1. An excitation wavelength of 785 nm was 147 used to collect spectra in the range of $35-1000~\rm cm^{-1}$ in combination 148 with a 1200 lines/mm diffraction grating, resulting in a resolution of 149 $\sim 2.5-3.1~\rm cm^{-1}$. With the 532 nm laser, data were collected in the 150 range of $100-1000~\rm cm^{-1}$ with a 2400 lines/mm diffraction grating, 151 resulting in a resolution of $\sim 0.80-1.01~\rm cm^{-1}$. A holographic notch 152 filter provided spectral sensitivity to 35 cm⁻¹ with the 785 nm laser. 153 The corresponding power densities for both measurements were 154 approximately 100 W/cm² on the basis of laser power (10 mW) and 155 spot size ($\sim 1~\mu m$). Reported spectra from the 785 nm laser are the 156 sum of 20 accumulations, each with a 10 s exposure time. Longer 157 exposure times were used when collecting spectra with the 532 nm 158 laser, with 30 accumulations of 20 s of data.

The high-energy region (650 cm $^{-1}$ and above) of the Raman 160 spectrum of β -UO $_3$ was analyzed using the Levenberg–Marquardt 161 nonlinear least-squares method in the OriginPro2020 suite. First, 162 background corrections were completed using an asymmetric least- 163 squares method modeled after Eilers and Boelens. Several models 164 were then examined and compared using the Akaike information 165 criterion. Gaussian, Voigt, and pseudo-Voigt peak shapes were 166 explored, and the number of peaks included in the fit was varied. On 167 the basis of the Akaike information criterion, the best model resulted 168 from fitting the experimental room-temperature data with six pseudo- 169 Voigt peaks.

2.6. Computational Methods. Geometry optimization of the 171 experimentally determined structure of Debets (Inorganic Crystal 172 Structure Database Collection Code 14314) and phonon mode 173 calculations were completed with the Vienna ab initio Simulation 174 Package (VASP) using the generalized gradient approximation 175 (GGA)^{25–28} and the modified exchange-correlation functional of 176 Perdew, Burke, and Ernzerhof revised for solids (PBEsol).²⁹ From 177 Debets' structure, all lattice parameters and ionic positions were 178 allowed to fully relax. For the geometry optimization, the calculations 179 were allowed to proceed self-consistently via the conjugate gradient 180 method with the ionic relaxation proceeding until the Hellmann— 181 Feynman forces were converged to 10^{-3} eV A^{-1} and the electronic 182 relaxation proceeding until the total energy converged to 10^{-8} eV/ 183 total.

Interaction of the core and valence shells was described by the 185 projector augmented wave method, and integration over the Brillouin 186 zone was performed with the tetrahedron method as described by 187 Blöchl. 30 A cutoff energy of 600 eV was used for the plane wave basis 188 set along with a Γ -centered k-point mesh with 0.4 Å $^{-1}$ spacing in the 189 Brillouin zone, after both were carefully checked for convergence. The 190 effective Hubbard Hamiltonian approach of Dudarev et al., $U_{\rm eff}$ was 191 applied to the f electrons on uranium as a correction for the strong 192 correlation of these electrons, with a value of $U_{\rm eff}=4$ eV. 31

$$E_{\rm GGA+\it U} = E_{\rm GGA} + \frac{\it U-\it J}{2} \sum_{\sigma} {\rm Tr}[\rho^{\sigma} - \rho^{\sigma} \rho^{\sigma}] \eqno(1)_{194}$$

where the parameters U and J are elements of the spherically averaged 195 matrix of the screened Coulomb interaction and can be applied in 196 VASP as $U_{\rm eff} = U - J$. ρ^{σ} is the density matrix. Owing to the relatively 197 large size of the β -UO₃ unit cell, a single unit cell was used for the 198

199 density functional perturbation theory (DFPT) calculations. Post-200 processing was performed using the Phonopy Python package.³²

3. RESULTS AND DISCUSSION

3.1. Powder X-ray Diffraction and Scanning Electron Microscopy. β -UO₃ was identified using the International Centre for Diffraction Data Powder Diffraction File 4+ (PDF Card 00-022-1079, Figure 1). The powder pattern of β -UO₃

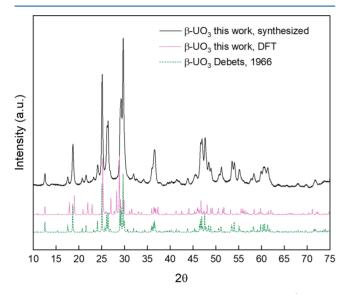
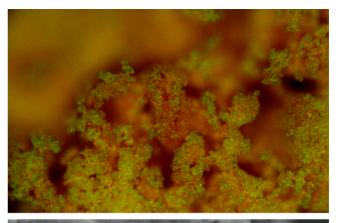


Figure 1. Powder X-ray diffractogram (Cu Kα, λ = 1.5406 Å) for β -UO₃ in comparison with the simulated powder pattern for Debets's β -UO₃ structure and the results of geometry optimization by DFT.

205 possesses sharp Bragg peaks with no reflection contributions 206 from impurity phases. Some reflection broadening resulting 207 from small crystallite domains is observed. The results of a 208 Scherrer analysis of the 10 most intense reflections indicate an 209 average crystallite size of 40.5 nm. 33

The EXPO2014 software package was used to calculate 211 lattice parameters and determine the space group of β -UO₃. 212 The results of autoindexing and space group determination by 213 N-TREOR and subsequent refinement of lattice parameters 214 yield a = 4.025 Å, b = 10.302 Å, and c = 14.630 Å with $\beta = 215\ 100.412^{\circ}$ in $P2_1$ ($R_{\rm wp} = 6.576\%$). These results are consistent 216 with the experimental X-ray structure of Debets. Both Debets 217 and Brincat report the monoclinic space group $P2_1$ for β -UO₃; 218 however, identical 0k0 with k odd systematic absences for both 219 $P2_1$ and $P2_1/m$ preclude ruling out the higher symmetry space 220 group.³ To examine the possibility of missed symmetry in the 221 assigned space group of β -UO₃, crystallographic data provided 222 by Debets was loaded into the PLATON software package, and 223 the ADDSYM command was executed to check for additional 224 symmetry and/or pseudosymmetry. 36 No additional symmetry 225 elements were observed using this method, but the cell 226 provided by Debets was found to be in a nonstandard setting 227 (e.g., basis vectors oriented relative to symmetry elements 228 rather than the smallest unit cell). The transformation matrix 229 for converting to the reduced cell (a = 3.91 Å, b = 10.34 Å, c =230 14.33 Å, $\beta = 99.03^{\circ}$, Z = 10) is included in Table S1 in the 231 Supporting Information.

Images of β -UO₃ collected using optical microscopy reveal a 233 bright orange cryptocrystalline powder with a vitreous luster 234 (Figure 2a). Secondary electron images show a massive 235 anhedral to subhedral morphology with radiating micaceous



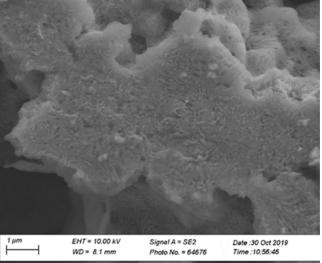


Figure 2. (a) Optical image of β -UO₃, which forms a bright orange cryptocrystalline powder with a vitreous luster. The field of view is 300 μm. (b) Secondary electron image of β -UO₃, which displays a massive anhedral to subhedral morphology with radiating micaceous crystallites that are approximately 200 nm in length.

crystallites that are approximately 200 nm in length (Figure 236 2b). Other notable morphological features include several 237 areas possessing a botryoidal habit and areas of voids and 238 vesicles likely resulting from rapid calcination (Figure S3 in the 239 Supporting Information). SEM-EDS measurements indicate 240 that only U and O are present in β -UO₃ (Figure S4 in the 241 Supporting Information).

3.2. Computational Results. According to X-ray 243 crystallographic results from Debets, β -UO₃ crystallizes in 244 the monoclinic space group $P2_1$ with lattice parameters a = 24510.34 Å, b = 14.33 Å, c = 3.91 Å, $\beta = 99.03^{\circ}$, and $Z = 10.^{19}$ In 246 Debets's structure (Figures 3 and 4), five unique U sites are 247 f3f4 described for β -UO₃, two of which are coordinated 248 pseudoequatorially by five oxygen atoms and possess extremely 249 bent uranyl-like oxygens (Figure 4a,b) forming distorted- 250 pentagonal-bipyramidal coordination geometries. An addi- 251 tional U environment in β -UO₃ is, according to Debets, 252 devoid of an -yl group, with one U site coordinated by six O 253 atoms forming a distorted octahedron (Figure 4c). Two 254 additional U sites were observed, decorated again nearly 255 equatorially by four O nearest neighbors, resulting in distorted- 256 square-bipyramidal coordination environments (Figure 257 4d,e).19

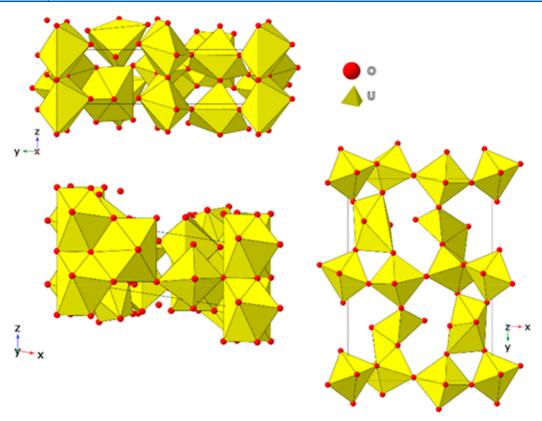


Figure 3. X-ray crystallographic structure of β -UO₃ solved by Debets in 1966. ¹⁹

Brincat et al.³ recently reexamined the structures of several uranium oxides, including β -UO₃, using DFT+U within the 261 GGA with the PBE functional, with U and J values of 4.5 and 262 0.54 eV, respectively. Their results found U–O distances (\sim 1.6–1.9 Å) consistent with uranyl bonds,^{3,37} but these 264 authors state that the nonlinearity of "axial" O about these U 265 centers preclude the formation of true uranyl units. Brincat et 266 al. confirm that one U center possesses distorted-octahedral 267 coordination and the remaining U sites have irregular 7-fold 268 coordination, like the distorted-pentagonal-bipyramidal geom-269 etry described for U1 and U2 in the experimental structure 270 (Figure 4a,b).

Our geometry optimization of the β -UO₃ structure by DFT 272 confirms that additional uranyl centers are present (Figure 5 and Table 1). U1 and U2 remain in pentagonal-bipyramidal coordination but display increased linearity of O-U-O centers in comparison to the experimental structure of Debets (Figure 5a,b and Table 1). Furthermore, U-O_{yl} bond distances of the U2 center in our DFT-optimized structure are more consistent with reported values for this type of bond than was observed experimentally.³⁷ In our optimized 280 structure, U3 is also less distorted in octahedral coordination 281 than this same center in the experimental structure of Debets, 282 as evidenced by increased isotropy of the coordinating O with 283 respect to U-O distances and O-U-O angles (Figure 5c). 284 Upon geometry optimization, U4 remains in the same square-285 pyramidal geometry observed experimentally by Debets and 286 computationally by Brincat but with longer and more linear 287 U-O_{vl} bonds (Figure 5d and Table 1). For U5 (Figure 5e and 288 Table 1), geometry optimization by DFT reveals a distorted-289 hexagonal-bipyramidal coordination environment, which was 290 not seen in the experimental structure of Debets or in the 291 DFT-optimized structure of Brincat et al. Differences between

the coordination environments in Figure 5 and those 292 determined by Brincat et al. are attributable to our use of 293 the PBEsol functional, which has been shown to be a more 294 appropriate model for actinide oxide systems in comparison to 295 PBE. Tomparing optimizations resulting from additional 296 calculations performed with both PBE and PBEsol confirm that 297 the use of the PBE functional produces a structure very similar 298 to that found by Brincat et al. Furthermore, use of the PBEsol 299 functional more closely reproduced the experimental lattice 300 parameters and enabled detection of an additional uranyl 301 center.

In addition to axial U $-{\rm O_{yl}}$ bond lengths and angles for U1, 303 U2, U4, and U5 in our DFT-optimized structure of β -UO₃, 304 calculated ν_1 and ν_3 frequencies expected to result from these 305 bonds in experimental IR and Raman spectra have been 306 tabulated and are included in Table 1. Empirical relationships 307 developed to relate U $-{\rm O_{yl}}$ distances to observed frequencies in 308 vibrational spectra were applied to both experimental and 309 computationally optimized bond lengths, following procedures 310 described by Jones, Glebov, and Bartlett and Cooney. 39–41

Group theory analysis based on symmetry indicates that the 312 relaxed β -UO $_3$ structure possesses 120 unique vibrational 313 modes, and all are Raman and IR active. Table 52 in the 314 Supporting Information contains phonon frequencies calcu- 315 lated from DFPT, their irreducible character, and correspond- 316 ing experimentally observed modes. Expected uranyl bond 317 lengths calculated using the methods of Jones, Glebov, and 318 Bartlett and Cooney described above are also included.

The phonon eigenvectors, \mathbf{u}_i^n , for each mode (indexed by n) 320 describe the displacement of atoms (indexed by i) along the 321 Cartesian axes at $\mathbf{Q} = \Gamma$. Since optical scattering occurs near Γ , 322 the atomic displacements at the Γ point in the Brillouin zone 323 describe the motion of atoms during inelastic light scattering. 324

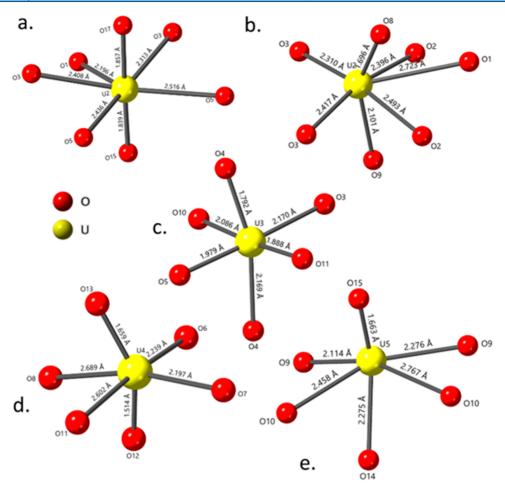


Figure 4. Coordination geometries of the five unique U sites in the structure of β-UO₃ as determined by Debets (1966): (a) U1 and (b) U2 in a distorted-pentagonal-bipyramidal coordination; (c) U3 in a distorted-octahedral coordination; (d) U4 and (e) U5 in a distorted-square-bipyramidal coordination.

325 Because the uncertainty of phonon frequencies calculated via 326 DFT is high in comparison to the mode density in frequency 327 space, especially in the uranyl region, employing an alternative 328 method of mode identification to simple frequency matching is 329 necessary. To do this, we calculate the fraction of atomic 330 displacement amplitude associated with select atoms for each 331 mode. For instance, in analyzing the motion of the U1 uranyl 332 ion (Figure 5a), we form the set of atoms ($yl_1 = [O13, O11, 333 U1]$) and their crystallographically equivalent sites, and 334 calculate their amplitude sum:

$$s_{yl_1}^n = \sum_{i \in [yl_1]} |u_i^n| = \sum_{i \in [yl_1]} \sqrt{(u_{i_x}^n)^2 + (u_{i_y}^n)^2 + (u_{i_z}^n)^2}$$

335 The s_{vl}^{n} values are normalized by total mode amplitude

$$s^n = s_{yl}^n / \sum_{i=1}^N |\boldsymbol{u_i^n}|$$

336 where N includes all atoms in the unit cell. Higher values of s^n 337 indicate that a larger fraction of mode amplitude originates 338 from motion of uranyl oxygen or uranium atoms (Table 2 and 339 Table S3 in the Supporting Information). Using this method, it 340 is possible to easily correlate Raman-active symmetric and 341 infrared-active antisymmetric stretching modes of each uranyl 342 ion by finding the largest values of s^n . This approach is 343 especially useful for separating regions with multiple uranyl ion

contributions that are difficult to distinguish by frequency 344 alone. Furthermore, obtaining both the Raman and infrared 345 frequencies on a per uranyl group basis allows the 346 simultaneous attribution of multiple experimental optical 347 bands to a single chemical moiety. To visualize these intensity 348 contributions and confirm assignments, eigenvectors of each 349 phonon mode have been plotted in VESTA over the relaxed 350 structure to illustrate the motion of participating atoms (e.g., 351 Figure 6a, 948 cm⁻¹ phonon, and the Supporting Informa- 352 fotion). 32,42

In addition, the eigenvector phase angle can be calculated 354 for each uranyl group, j = 1-5, using the equation 355

$$\theta_j^n = \cos^{-1} \left(\frac{\boldsymbol{u}_{\mathrm{O}_{yl1}}^n \cdot \boldsymbol{u}_{\mathrm{O}_{yl2}}^n}{|\boldsymbol{u}_{\mathrm{O}_{yl1}}^n||\boldsymbol{u}_{\mathrm{O}_{yl2}}^n|} \right)$$

Angles near zero indicate collinear motion of uranyl oxygens, 356 commensurate with an "antisymmetric" stretching mode, 357 whereas angles near 180° indicate antilinear motion (out of 358 phase). Because the β -UO $_3$ structure possesses relatively low 359 symmetry, the phase angles for uranyl modes neither 360 correspond precisely to zero and 180° nor do they indicate 361 optical selection rules (Raman or infrared activeness), but the 362 phase angles can be used to assist in determination of a 363 qualitative character of each mode. For instance, eigenmodes 364 with phase angles near 180° are the qualitative equivalent of 365

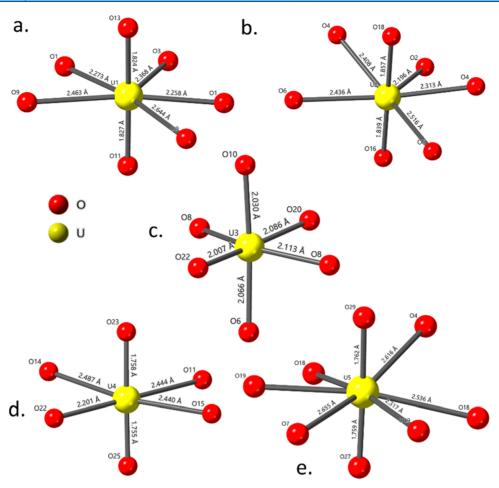
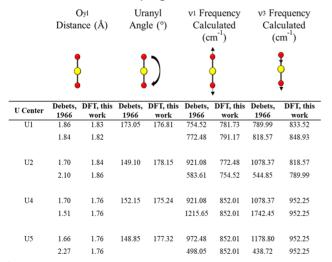


Figure 5. Uranium coordination environments in the DFT optimized structure of β -UO₃: (a) U1 and (b) U2 possessing a distorted-pentagonal-bipyramidal coordination geometry; (c) U3 remaining in octahedral coordination; (d) U4 possessing a square-bipyramidal coordination; (e) U5 showing a distorted-hexagonal-bipyramidal coordination geometry.

Table 1. Uranyl Bond Lengths and Angles from the X-ray Crystallographic Structure of Debets ¹⁹ Compared with Results of DFT Geometry Optimization ^a



 $[^]a\nu_1$ symmetric and ν_3 antisymmetric stretching frequencies calculated from experimental and DFT optimized uranyl bond lengths are also included.

366 Raman-active symmetric stretching modes (Table 2 and Table 367 S3 in the Supporting Information). Moreover, although all

modes are Raman active by symmetry, we do not expect to find 368 significant Raman intensity in a mode with a small (but 369 nonzero) phase angle that would indicate a particular mode is 370 qualitatively similar to an antisymmetric, infrared-active mode. 371

3.3. Infrared Spectrum of β -UO₃. Infrared spectra 372 collected for β -UO₃ are in good agreement with previously $_{373}$ reported results $_{15,22,43}^{15,22,43}$ and are characterized by strong, well- $_{374}$ defined absorbance bands at 966 and 911 cm⁻¹ (Figure 7). 375 f7 The band located at 911 cm⁻¹ in the experimental data is 376 attributed to the $\nu_3(\mathrm{UO_2}^{2+})$ antisymmetric stretching vibration 377 on the basis of literature precedent.⁵ This assignment is 378 consistent with intensity contributions calculated using the 379 method described in dection 3.2 (Table 2); however, a shift in 380 frequency is observed for computational results (890 cm⁻¹, 381 DFPT predicted frequency). This assignment is bolstered by 382 further examination of Table 2, which indicates antisymmetric 383 stretching in this region for U2. Eigenvectors associated with 384 the 890 cm⁻¹ phonon (Figure 6) confirm this, highlighting the 385 advantage of using multiple methods to assign vibrational 386 spectroscopic modes from DFPT results. Symmetric stretch- 387 ings for U1, U3, U4, and U5 also occur at 890 cm⁻¹, but their 388 contributions to intensity in the experimental IR are likely 389 minimal (Table 2). Strong antisymmetric stretching of axial U1 390 and U2 O atoms is observed at 876 cm⁻¹ and may also 391 contribute to the observed intensity at 911 cm⁻¹ (Figure 6 and 392 Table 2).

Table 2. Results of Atom Displacement and Phase Angle Calculations from DFPT Described in Section 3.2^a

Contributing Center	U1		U2		U3		U4		U5	
Coordination	100		2,201 A		00 00 00 00 00 00 00 00 00 00 00 00 00		000 000 000 000 000 000 000 000 000 00			
Frequency (cm ⁻¹)	s ⁿ	Angle	s ⁿ	Angle	s ⁿ	Angle	s ⁿ	Angle	s ⁿ	Angle
1038.93	0.02	121.17	0.03	122.13	0.02	10.01	0.44	1.51	0.45	2.16
1038.66	0.05	6.45	0.05	25.85	0.02	117.88	0.43	1.48	0.44	2.14
995.27	0.09	5.86	0.04	11.33	0.02	133.37	0.42	1.91	0.41	2.06
994.19	0.03	168.87	0.01	126.28	0.01	101.47	0.48	2.13	0.46	2.01
948.44	0.41	2.98	0.23	2.58	0.09	4.31	0.09	164.83	0.08	177.85
890.13	0.11	176.32	0.29	1.64	0.10	156.28	0.14	175.77	0.28	177.58
876.70	0.07	8.05	0.22	1.29	0.07	163.11	0.08	174.93	0.46	179.44
856.56	0.23	7.11	0.05	151.75	0.02	57.80	0.35	176.83	0.25	178.65
849.36	0.52	4.38	0.04	167.38	0.02	150.73	0.27	170.56	0.08	172.82
848.00	0.04	15.98	0.21	7.67	0.05	47.16	0.61	179.54	0.01	59.65
818.60	0.27	3.66	0.33	6.88	0.10	166.79	0.10	168.59	0.23	178.23
806.26	0.06	72.70	0.37	5.85	0.12	157.14	0.18	176.28	0.31	175.26
776.42	0.35	179.76	0.22	171.32	0.07	146.67	0.14	176.37	0.02	26.50
771.15	0.42	179.60	0.23	177.07	0.15	162.05	0.02	142.28	0.02	123.71
747.11	0.17	178.02	0.20	176.08	0.24	161.95	0.04	168.85	0.01	76.82
746.64	0.09	177.57	0.23	176.74	0.25	173.63	0.04	177.39	0.03	153.83
734.07	0.09	171.33	0.24	179.70	0.18	175.07	0.06	172.32	0.05	159.32
724.39	0.04	162.19	0.25	178.73	0.24	171.52	0.06	164.47	0.05	164.57
653.51	0.01	165.22	0.01	117.02	0.06	85.16	0.00	82.22	0.01	65.08
653.41	0.00	96.85	0.01	165.90	0.05	137.99	0.00	18.29	0.01	21.56
615.34	0.12	166.27	0.12	44.46	0.20	10.38	0.03	120.58	0.05	153.78

^aDarker shading indicates greater U center contributions (sⁿ) and phase angles closer to 180°.

The higher energy mode at 966 cm⁻¹ in the experimental IR spectrum was observed by other researchers. 43,44 Allen and Holmes 43 and Tsuboi et al. 45 allude to this as originating from an additional UO22+ stretching mode, but neither provided definitive evidence of this assignment. The experimentally observed absorption intensity coupled with crystallographic data from Debets¹⁹ and our DFT results suggest that the 401 hypotheses of Allen and Holmes⁴³ and Tsuboi et al.⁴⁵ are correct that this band originates from an additional $\nu_3(\mathrm{UO_2}^{2+})$ 403 mode from one (or more) of the five U centers in β -UO₃. An examination of Table 2 reveals that the computationally predicted mode at 948 cm⁻¹ corresponds to antisymmetric 406 polarization along the uranyl for U1, U2, and U3 with 407 symmetric stretching contributions observed for U4 and U5. 408 This DFPT-predicted phonon mode is approximately 18 cm⁻¹ 409 lower than what was observed experimentally and is a shift \sim 21 cm⁻¹) similar to what was predicted computationally (890 cm⁻¹) for the 911 cm⁻¹ experimental mode (Table 2). Furthermore, antisymmetric stretching of axial oxygens is seen in the eigenvector visualization produced for this frequency (Figure 6 and the Supporting Information).

Weak absorptions located at ~885, 874, and 864 cm⁻¹ in the experimental IR data (Figure 7) may also result from slight differences in coordination about the multiple U centers in β-418 UO₃. Like the band located at 966 cm⁻¹, these lower intensity absorptions likely originate from the $ν_3(UO_2^{2+})$ antisymmetric stretching vibrations of additional U-O_{yl} bonds. Evidence stretching vibrations of additional U-O_{yl} bonds. Evidence supporting this hypothesis is that reasonable U-O_{yl} bond lengths (1.76–1.8 Å) are obtained when they are calculated discussed in section 3.2, with the assumption that modes at discussed in section 3.2, with the assumption that modes at 885, 874, and 864 cm⁻¹ originate from $ν_3(UO_2^{2+})$ stretching vibrations. The range of calculated bond distances is also in 427 excellent agreement with uranyl bond lengths in the DFT-

optimized structure (Table 1 and Figure 5). Further 428 justification is provided by an examination of Table 2 and 429 eigenvectors associated with these modes. Significant anti- 430 symmetric stretching of axial oxygens is predicted for U1 and 431 U2 at 876 cm⁻¹. Similarly, at 856, 849, and 848 cm⁻¹, 432 antisymmetric stretching of axial O associated with U1, U2, 433 and a combination thereof is observed (Table 2 and Table S3 434 in the Supporting Information). Although there are some 435 differences between theory and experiment, such as a slight 436 shifting that occurs for predicted vibrational modes (Table 2) 437 relative to what is observed experimentally (Figure 7), the 438 complexity resulting from multiple U coordination environ- 439 ments in the structure of β -UO₃ is clearly manifested in the 440 high-energy region of the IR spectrum.

Complicated absorption behavior is also observed in the 442 range of $\sim 800-650$ cm⁻¹ with bands centered at 802, 783, 443 746, 724, 689, and 666 cm⁻¹ (Figure 7). DFPT predicts 444 numerous phonons in this region (Table 2 and Table S2 in the 445 Supporting Information). Khilla et al. provide a comparison of 446 IR spectra for the UO₃ polymorphs and attribute vibrational 447 modes in the range of 660-790 nm to equatorial U-O 448 stretching. Again, given the numerous U coordination 449 environments in the experimental and computationally 450 optimized structures of β -UO₃, this assignment by Khilla et 451 al. is likely correct.^{3,15,19} As seen in Table 2 and Table S3 in the 452 Supporting Information, fewer uranyl contributions are present 453 here in comparison to the higher energy (>800 cm⁻¹) region 454 of the IR spectrum. Phonon eigenvector illustrations show that 455 stretching of equatorial oxygens about U4 and U5 centers is 456 occurring at 806 cm⁻¹ (Supporting Information), but Table 2 457 indicates that antisymmetric uranyl stretching for U2 arises at 458 the same frequency and thus may be contributing to the 459 observed absorption intensity. Likewise, predicted modes in 460 Table 2 and an examination of phonon eigenvectors suggest 461

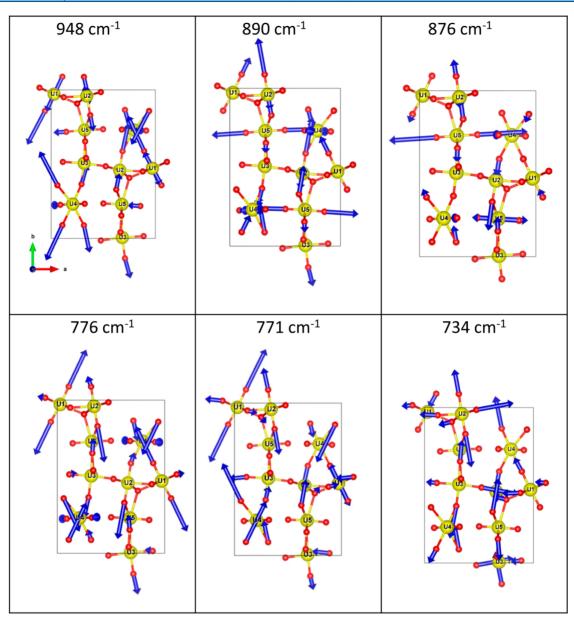


Figure 6. Phonon eigenvector visualizations for select vibrational modes predicted from DFPT.

462 that the mode at 776 cm⁻¹ is composed of intensity 463 contributions from U5 antisymmetric stretching coupled with 464 equatorial O about U4. Equatorial O vibrations are also seen at 465 771 cm⁻¹ for U4 and U5 on the basis of phonon eigenvector 466 visualizations (Supporting Information). High-magnitude 467 symmetric stretching is also observed for all U centers in this 468 region (~720-780 cm⁻¹, Table 2), which is expected to 469 manifest intensity at approximately the same frequency in the 470 experimental Raman spectrum of β -UO₃ (section 3.4 and 471 Figure 8). Table 2 shows that symmetric uranyl stretching is 472 present in this region, but eigenvector visualizations indicate 473 that equatorial O vibrations about U1, U2, U4, and U5 centers 474 are also occurring at this frequency (Supporting Information), 475 highlighting the importance of using visualizations (e.g., Figure 476 6) and calculations (Table 2) in tandem to assign vibrational 477 spectra on the basis of DFPT results.

3.4. Raman Spectrum of *β***-UO**₃**.** The Raman spectrum of β**-UO**₃ is dominated by an intense vibrational mode at 789 β**-UO**₃ central to an apparent quintet of modes in the range of

650-900 cm⁻¹ (Figure 8). Deconvolution of this region as 481 described in section 2.5 reveals additional complexity, with six 482 peaks contributing to observed intensities (Table S2 in the 483 Supporting Information). As with other U compounds 484 possessing U(VI) in the linear -yl configuration, uranyl 485 symmetric stretching vibrations are prevalent here. 46 Similar 486 to observations from IR spectroscopy, the varying intensity and 487 complexity of vibrational modes in this region can be 488 attributed to the five symmetrically unique U sites in β -UO₃. 489 To this end, the center of each mode obtained from the peak 490 fitting procedure described in section 2.5 was applied to the 491 empirical relationship described by Bartlett and Cooney⁴⁰ 492 discussed in section 3.2 to calculate uranyl distances (Table S2 493 in the Supporting Information). Additionally, as was done for 494 IR data in section 3.3, uranyl-related stretches documented in 495 Table 2 and eigenvector visualizations like those shown in 496 Figure 6 were used to guide mode assignments for the 497 experimental Raman spectra shown in Figure 8.

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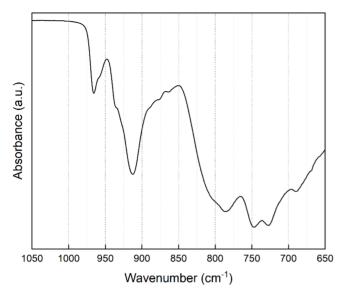


Figure 7. Infrared spectrum of β -UO₃. Strong absorbance bands are observed at 966 and 911 cm⁻¹ and correspond to $\nu_3(\text{UO}_2^{2+})$ asymmetric stretching vibrations. Vibrational modes associated with equatorial U–O are visible in the lower energy (<800 cm⁻¹) region.

Bond length calculations for vibrational modes in the range 500 of 700–900 cm⁻¹ completed using the method of Bartlett and 501 Cooney 40 indicate that uranyl bonds range from 1.92 Å (702 502 cm⁻¹ mode) to 1.73 Å (887 cm⁻¹ mode) (Table S2 in the 503 Supporting Information). These distances are consistent with 504 U–O_{yl} lengths in the experimental crystal structure of Debets 505 and with our DFT results 19 (Figures 4 and 5 and Table 1). 506 Similar to results from IR spectroscopy, these bond lengths 507 indicate the presence of additional uranyl-type centers in β -508 UO₃. The emergence of uranyl bonds is further evidenced by 509 nearly linear (176.8–178.1°) angles calculated for all U centers 510 and coordinating O with distances of ~1.8 Å (Table 1).

To validate the bond lengths calculated from experimental spectra and to more definitively assign uranyl peaks, the frequencies of phonon modes obtained from DFPT (Table S2 in the Supporting Information) were also used to calculate spected uranyl bond lengths, again using the method of Bartlett and Cooney (Table S2 in the Supporting Information). In tandem with results included in Table 1 speaks located at ~770, 788, 851, and 886 cm⁻¹ in the experimental data were assigned to the stretching modes of individual U coordination environments or combinations thereof.

Applying these cross validations to the highest intensity vibrational mode in the experimental Raman spectra leads to the assignment of the band centered at 788 cm⁻¹ to the 526 $\nu_1(\mathrm{UO_2}^{2+})$ symmetric stretching vibration, composed primarily of contributions from axial O of the U1 center. According to DFPT results, symmetric stretching of axial oxygens about the U1 center with additional contributions from U2–O and, to a lesser extent, U4–O stretching (Table 2) occurs at 776 cm⁻¹. Similarly, at 771 cm⁻¹, Table 2 indicates that intense symmetric stretching of O about U1 and U2 occurs, with small uranyl contributions at all other U sites. In addition to the intensity contributions indicated in Table 2, eigenvector visualization of the 776 and 771 cm⁻¹ phonons shows symmetric uranyl stretching at these frequencies (Figure 6).

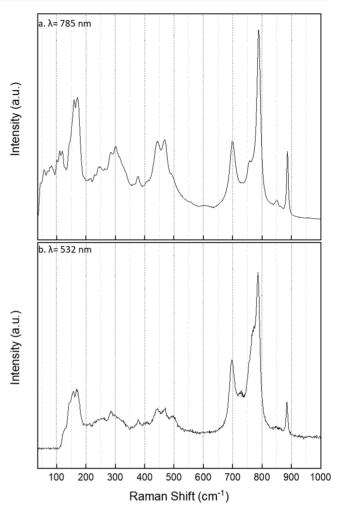


Figure 8. Raman spectrum of *β*-UO $_3$ collected with (top) 785 nm and (bottom) 532 nm excitation wavelengths. The intense peak at 788 cm $^{-1}$ is attributed to symmetric stretching of uranyl oxygens associated with U1. Peak centers obtained from fitting data in (a) as described in section 2.5 are included in Table S2 in the Supporting Information for reference.

length expected to produce this frequency as calculated from 538 the experimental spectra is 1.82 Å. A similar bond length is 539 derived from computationally predicted phonon frequencies 540 (771 cm⁻¹, 776 cm⁻¹, and 1.84 Å; Table S2 in the Supporting 541 Information). Furthermore, U1–O13 and U1–O11 (1.82 and 542 1.83 Å, respectively; Figure 6a and Table 1) bond distances 543 observed in the DFT-optimized structure are in good 544 agreement with uranyl bond lengths predicted from both the 545 experimental data and the DFPT phonons (Table S2 in the 546 Supporting Information).

A low-intensity shoulder peak composed of intensity 548 contributions from the $\nu_1(\mathrm{UO_2}^{2+})$ symmetric stretching of 549 axial oxygens about U1 and U2 centers, with lesser 550 contributions from other U centers (Table S3 in the 551 Supporting Information), is located at ~770 cm⁻¹ in the 552 experimental Raman data (~750 cm⁻¹ DFPT predicted). 553 Eigenvector illustrations for these frequencies and the expected 554 U-O_{yl} bond length calculated from the position of this 555 experimental mode (1.86 Å) confirm this assignment and 556 suggest that a larger portion of the observed intensity 557 originates from U2 O. Furthermore, the predicted position 558 (754 cm⁻¹) of the $\nu_1(\mathrm{UO_2}^{2+})$ mode calculated from the bond 559

560 distance (U2–O18, 1.86 Å) in the DFT-optimized structure is 561 in excellent agreement with the experimental spectra (Table 562 1). Table 2, Table S2 in the Supporting Information, and the 563 eigenvector visualization (Supporting Information) also 564 indicates that some polarization of O–U3–O occurs in this 565 region. Therefore, symmetric stretching of O about U3 in 566 octahedral coordination may also be contributing to the 567 experimentally observed intensity at \sim 770 cm⁻¹.

A lower intensity mode located at ~730 cm⁻¹ in the 569 deconvoluted experimental data originates from the $\nu_1(\mathrm{UO_2}^{2+})$ 570 symmetric stretching of oxygens about the U2, U3, and, to a 571 lesser extent, U1 centers on the basis of the results in Table 2. 572 From the Bartlett and Cooney relationship, this mode 573 corresponds to a uranyl bond length of 1.88 Å (Table S2 in 574 the Supporting Information), such as the longer uranyl bond 575 lengths seen for U2 in the DFT-optimized structure (Figure 5 576 and Table 1). Examination of the eigenvectors associated with 577 this frequency again highlights the need for multiple validation 578 methods in assigning experimental Raman spectra on the basis 579 of computational results. Visual analysis alone suggests 580 symmetric and antisymmetric stretching for U2-O and U3-581 O, respectively, in the 734 cm⁻¹ phonon mode (Figure 6), 582 whereas the results in Table 2 indicate that symmetric O 583 stretching is predicted at this frequency for both centers.

On the basis of 1.76 Å U-O_{yl} bond lengths for U4 and U5 in the DFT-optimized structure (Table 1 and Figure 5d,e), these centers are expected to manifest a symmetric stretching vibrational mode centered at ~850 cm⁻¹ in experimental Raman spectra, which is indeed observed in Figure 8a. Table 2 say also indicates that the intensity here is largely composed of symmetric uranyl stretching associated with U4 (predicted at say 848 cm⁻¹). Symmetric stretchings of axial O for U4 and U5 are say also seen in the eigenvectors associated with modes in this region (Supporting Information), again emphasizing the need for multiple methods of assigning Raman spectra.

In addition to complex uranyl modes in the high-energy 596 region, a doublet of peaks with both high- and low-energy 597 shoulders in the range of 450-470 cm⁻¹ is observed (Figure 598 8). These features were observed by Sweet et al. in the 599 calcination products of (NH₄)₄UO₂(CO₃)₃, which were a mix 600 of amorphous and β -UO₃, but no assignments were provided 601 by these authors. 47 Deconvolution of spectral features in this 602 range as described in section 2.5 indicates that at least four 603 unique peaks are contributing to the observed intensity. 604 Although these modes may be combination bands resulting 605 from the multiplicity of features in the low-energy (ca. 100-606 300 cm⁻¹) region of the spectra, Table S3 in the Supporting 607 Information indicates that uranyl contributions to intensity 608 persist through this lower energy region, to approximately 461 609 cm⁻¹. Significant motion of O coordinating the U3 center (in 610 distorted-octahedral coordination) is also observed in this 611 region with additional intensity contributions from U1 and U5 612 equatorial O vibrations (Table S3 in the Supporting 613 Information, ~ 460 cm⁻¹ phonon eigenvectors).

1613 Information, ~ 460 cm phonon eigenvectors).
1614 The results of peak fitting suggest that as many as 28 1615 individual modes contribute to the observed intensities in the 1616 low-energy region between 35 and 400 cm⁻¹. Low intensity 17 and high complexity here may be attributed to the appearance 1818 of multiple uranyl and equatorial O modes originating once 1919 again from the distinct coordination environments at each 1620 crystallographically unique U site (Figure 5). 1618 Confirming this 1621 assessment, numerous phonon modes are predicted in this 1622 region (Table S2 in the Supporting Information). Eigenvector

visualizations associated with DFPT predicted modes (see the 623 Supporting Information) in the range of 38-78 cm⁻¹ show 624 that only low-magnitude lattice vibrations are occurring here. 625 At ~ 81 and 86 cm⁻¹, however, intensity contributions from O 626 coordinating U3 are suggested upon examination of 627 eigenvector images (see the Supporting Information). Lattice 628 vibrations similarly dominate the region of $\sim 90-136$ cm⁻¹, 629 after which equatorial modes originating from all U centers and 630 combinations thereof are realized in the $\sim 145-400$ cm⁻¹ 631 phonons (see the Supporting Information).

The complex Raman spectrum of β -UO₃ is contrasted by 633 simple spectra observed for amorphous and α - and γ - 634 UO₃. ^{2,4,8,49,50} The structures of α - and γ -UO₃ contain only 635 one unique U coordination environment, ^{51,52} whereas the 636 multiple uranyl centers observed in β -UO₃ produce the 637 complicated spectrum seen in Figure 8. Amorphous UO₃ is 638 characterized by a diffuse Raman peak centered at ~692 cm⁻¹, 639 with low-intensity shoulders at 758 and 865 cm^{-1.52} Raman 640 spectra for α -UO₃ show only two peaks in the range of 600–641 1000 cm⁻¹, centered at 760 and 830 cm^{-1.4} Similarly, the 642 Raman spectrum for γ -UO₃ is characterized by peaks centered 643 at 767 and ~840 cm^{-1.2,8} No other vibrational modes are 644 observed in the range of 600–1000 cm⁻¹ for γ -UO₃, which is 645 in contrast to the six peaks seen in this region for β -UO₃. The 646 complex structure and, subsequently, Raman spectrum of β - 647 UO₃ results in it being readily distinguished from the other 648 polymorphs using micro-Raman spectroscopy.

4. CONCLUSIONS

Pure β -UO₃ has been synthesized by the facile calcination of 650 uranyl nitrate in air following a review of the reported synthetic 651 routes. PXRD and SEM-EDS were used to characterize β -UO₃ 652 and indicate that a pure and homogeneous product was 653 obtained. IR spectra of β -UO₃ are in good agreement with 654 previously reported results. The Raman spectrum of pure β - 655 UO3 is presented for the first time, including the low-energy 656 region (35-100 cm⁻¹). DFT and DFPT calculations were used 657 to optimize the structure of and calculate phonon modes for β - 658 UO3. DFT geometry optimization and experimental results 659 confirm that four U centers (U1, U2, U4, and U5) in β -UO₃ 660 possess the UO₂²⁺ uranyl unit. U1 and U2 are decorated by 661 five O atoms in the equatorial plane, resulting in distorted- 662 pentagonal-bipyramidal coordination, whereas U4 and U5 are 663 characterized by square- and hexagonal-bipyramidal geo- 664 metries, respectively. Finally, the results of DFPT calculations 665 enabled assignment of uranyl vibrational modes in the 666 experimental IR and Raman spectra of β -UO₃.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at 670 https://pubs.acs.org/doi/10.1021/acs.inorgchem.0c01279.

Eigenvector visualization files of the DFT optimized 672 structure of β -UO₃ (ZIP) 673

Powder X-ray diffraction and Raman spectroscopic data 674 from preliminary syntheses performed in this work, 675 additional SEM-EDS images and data, transformation 676 matrix for converting the reported cell of β -UO $_3$ to a 677 standard setting, a complete tabulation of DFPT- 678 predicted phonon modes with corresponding results 679 from experimental Raman spectra, and detailed results of 680 analyses described in section 3.2 (PDF)

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682 AUTHOR INFORMATION

Corresponding Author

Tyler L. Spano - Nuclear Nonproliferation Division, Oak Ridge 684 National Laboratory, Oak Ridge, Tennessee 37831, United 685 States; o orcid.org/0000-0001-6572-9722; Email: spanotl@ 686 ornl.gov

688 Authors

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705

706

707

Ashley E. Shields – Nuclear Nonproliferation Division, Oak 689 Ridge National Laboratory, Oak Ridge, Tennessee 37831, 690 United States 691

Brianna S. Barth - Nuclear Nonproliferation Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, United States; University of Texas at Austin, Department of Chemistry, Austin, Texas 78712, United States

Jeremiah D. Gruidl – Nuclear Nonproliferation Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, United States

Jennifer L. Niedziela – Nuclear Nonproliferation Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, *United States*; orcid.org/0000-0002-2990-923X

Roger J. Kapsimalis - Nuclear Nonproliferation Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, United States

Andrew Miskowiec - Nuclear Nonproliferation Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, United States

708 Complete contact information is available at: 709 https://pubs.acs.org/10.1021/acs.inorgchem.0c01279

711 The authors declare no competing financial interest.

ACKNOWLEDGMENTS

713 The authors thank Michael Lance for his assistance in 714 collecting IR data, David Harris and Scott Matthews for 715 their support, and Zach Brubaker and Sara Isbill for their 716 helpful comments. We also thank two anonymous reviewers 717 for their careful consideration of this work, which has been 718 significantly improved by their comments. A portion of this 719 research was conducted at the Center for Nanophase Materials 720 Sciences, which is a Department of Energy Office of Science 721 User Facility. This project was supported in part by an 722 appointment to the Science Education Programs at National 723 Institutes of Health, administered by Oak Ridge Associated 724 Universities through the US Department of Energy Oak Ridge 725 Institute for Science and Education. This research used 726 resources of the Compute and Data Environment for Science (CADES) at the Oak Ridge National Laboratory, which is 728 supported by the Office of Science of the US Department of 729 Energy under Contract No. DE-AC05-00OR22725. This 730 manuscript has been authored by UT-Battelle, LLC, under contract DE-AC05-00OR22725 with the US Department of 732 Energy (DOE). The US government retains and the publisher, 733 by accepting the article for publication, acknowledges that the 734 US government retains a nonexclusive, paid-up, irrevocable, 735 worldwide license to publish or reproduce the published form 736 of this manuscript, or allow others to do so, for US government 737 purposes. DOE will provide public access to these results of 738 federally sponsored research in accordance with the DOE 739 Public Access Plan (http://energy.gov/downloads/doe-public-740 access-plan).

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